



# A divide-and-conquer sumcheck protocol

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**Abstract.** We present a new sumcheck protocol called Fold-DCS (Fold-Divide-and-Conquer-Sumcheck) for multivariate polynomials based on a divide-and-conquer strategy. Its round complexity and soundness error are logarithmic in the number of variables, whereas they are linear in the classical sumcheck protocol. This drastic improvement in number of rounds and soundness comes at the expense of exchanging multivariate polynomials, which can be alleviated using polynomial commitment schemes. We first present Fold-DCS in the PIOP model, where the prover provides oracle access to a multivariate polynomial at each round. We then replace this oracle access in practice with a multivariate polynomial commitment scheme; we illustrate this with an adapted version of the recent commitment scheme Zeromorph [KT24], which allows us to replace most of the queries made by the verifier with a single batched evaluation check.

## 1 Introduction

The classical sumcheck protocol [LFKN92] is an interactive proof protocol used to verify the sum of the values of a given multivariate polynomial over a large domain, typically a hypercube. The protocol works by iteratively reducing a multivariate polynomial sum to a univariate case, allowing efficient verification without requiring the verifier to recompute the entire sum. At each round, the arity of the polynomial is reduced by one, meaning that there is one round per variable. It is highly efficient in terms of communication, as the prover only sends *univariate* polynomials to the verifier. Keeping the amount of data sent to the verifier this low alleviates the cost (in time and space) of computing cryptographic commitments to large vector in zero-knowledge proof systems and thus makes the sumcheck protocol a core component in several zk-SNARKs. For instance, Hyrax [WTS<sup>+</sup>18] calls for as many sumcheck invocations as the depth of the circuit, and Spartan [Set20] needs two sumcheck invocations for products of two multilinear polynomials.

The sumcheck protocol also plays a central role in Interactive Proofs (IPs). It is the main ingredient of the GKR interactive proof for circuit evaluation [GKR15]. Bootle et al. [BCS21] recently introduced a class of interactive protocols, called *sumcheck arguments*, which turn the knowledge proofs of openings for certain commitment schemes CM into sumcheck protocols for a function  $f_{\text{CM}}$  over a domain  $H$ . Such compatible commitment schemes are said *sumcheck-friendly*. Sumcheck arguments establish an elegant connection between the sumcheck protocol and several seemingly disparate works, such as folding techniques. This renews and reinforces the need for efficient sumcheck protocols.

In this work, we present a new polynomial interactive oracle proof (PIOP) to check the sum of a multivariate polynomial  $f$  of arity  $\mu$  over a hypercube  $H^\mu$  in  $O(\log \mu)$  rounds.

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40 The strategy in the standard sumcheck protocol [LFKN92] is to reduce the problem at each  
 41 round to another instance of the sumcheck protocol with a polynomial of lower arity. Here,  
 42 instead of decreasing the arity by one at each round, we rely on the Divide-and-Conquer  
 43 routine to turn one instance of the sumcheck into two instances of half the “size”, here half  
 44 the arity. The first instance still aims at verifying that the claimed sum is correct, while  
 45 the second one allows to check the integrity of the function used in the first one. A classical  
 46 trick (see [BSBHR18, BSBHR19]) to avoid doubling the instances at each turn is to *fold*  
 47 them: instead of checking the sums of two polynomials  $f_0$  and  $f_1$ , we check that a random  
 48 linear combination of  $f_0$  and  $f_1$  has the expected sum, repeating the Divide-and-Conquer  
 49 process described above.

50 Ultimately, the final check is a univariate sumcheck which can be performed either by  
 51 the verifier herself (querying  $|H|$  values of the last commit) or using an efficient interactive  
 52 protocol, like the one in Aurora [BSCR<sup>+</sup>19] if  $H$  is structured. As a result, the round  
 53 complexity is  $O(\log \mu)$  for a  $\mu$ -variate polynomial, compared to  $\mu$  in the standard protocol.

54 Decreasing the number  $r$  of rounds is critical in the context of the Fiat-Shamir transform.  
 55 For a  $(2r + 1)$ -move interactive protocol in which the prover has a cheating probability of  
 56 at most  $\epsilon$ , the associated Fiat-Shamir-transformed protocol admits a cheating probability  
 57 of at most  $(Q + 1)^r \cdot \epsilon$ , where  $Q$  is the number of random-oracle queries. Attema et al.  
 58 [AFK23] showed that this exponential security loss does not only occur for contrived  
 59 examples, but also for some natural protocols such as the  $t$ -fold parallel repetition of  
 60 protocols. It is worth noting that this critical loss of security does not happen when the  
 61 interactive protocol satisfies a strengthened version of soundness, called *round-by-round*  
 62 *soundness* [CCH<sup>+</sup>18].

63 **Comparison with the standard sumcheck protocol** In both the standard and our  
 64 protocol, the soundness is linear in the number of rounds. However, the soundness of  
 65 Fold-DCS depends on the total degree of the polynomial, not its individual degrees. Thanks  
 66 to the exponential gain in round complexity, we thus also achieve a better soundness as  
 67 long as the total degree of the polynomial is fixed and at most  $\mu/\log(\mu)$  times its highest  
 68 individual degree.

69 This significantly lower number of rounds comes at the expense of the exchange of  
 70 *multivariate* polynomials between the prover and the verifier, which would make the proof  
 71 size and the verifier complexity explode. Our PIOP for sumcheck thus requires a polynomial  
 72 commitment scheme (PCS) for practical use. In our protocol, if the polynomials computed  
 73 by the prover  $P$  were fully sent (without using commitment schemes), most of the verifier  
 74  $V$ 's computational complexity would reside in evaluating multivariate polynomials sent  
 75 by the prover  $P$ . We have chosen to first present the protocol in the PIOP model (see  
 76 Section 3), in which  $V$  is not sent actual polynomials by  $P$ , but instead given oracle access  
 77 to each one of them, allowing  $V$  to query evaluations of said polynomials at any point.  
 78 Then, in Section 4, we present the protocol using a multivariate polynomial commitment  
 79 scheme (PCS), in which  $P$  first sends commitments to the polynomials; later,  $P$  and  $V$  run  
 80 an evaluation protocol in which the prover sends the values of a batch of polynomials at a  
 81 given common point and a proof of convinces the verifier of the correctness of these values.

82 Complexities of the standard sumcheck protocol, the Fold-DCS in the PIOP model  
 83 and its instantiated version with the commitment scheme Zeromorph [KT24] are gathered  
 84 up in Table 1. Note that in the usual description of the standard sumcheck [LFKN92],  
 85 the prover is given oracle access to the original polynomial. So the prover computations  
 86 consist in querying  $|H|^\mu$  values and summing them, hence a prover complexity of  $O(|H|^\mu)$   
 87  $\mathbb{F}_q$ -operations (see [Tha22, §4.1] for details). Handling the whole polynomial as in the  
 88 PIOP-model, the prover can perform less operations (recall that  $d < |H|$ ). For fair  
 89 comparison, we give the prover complexity of the standard sumcheck protocol in the latter  
 90 case. A similar computation to the one of §3.3 shows that the prover needs to perform at

91 most  $\mu^2 d^{\mu-1} + 2d|H|$  operations in  $\mathbb{F}_q$ , which is less than  $|H|^\mu$  for  $\mu$  large enough. As a  
 92 result, our protocol with  $\log(\mu)$  rounds also decreases the prover complexity in the PIOP  
 93 model.

94 **Choosing a multivariate polynomial commitment scheme** We chose to instantiate  
 95 Fold-DCS with the commitment scheme Zeromorph [KT24] based on KZG commitments  
 96 [KZG10]. While Zeromorph is not transparent, it offers the following advantages:

- 97 • Since it does not use a sumcheck protocol as a subroutine, its evaluation protocol
- 98 has constant round complexity;
- 99 • The verifier complexity of its evaluation protocol is linear in the number of variables;
- 100 • Its evaluation protocol allows for batching and shifting [KT24, §8].

**Table 1:** Comparison of protocol Fold-DCS (with the PCS Zeromorph [KT24]) with the  
 standard sumcheck protocol for a  $\mu$ -variate polynomial of partial degrees at most  $d$  and  
 total degree at most  $D$  over a coset  $H \subset \mathbb{F}$ . The verifier and prover complexities are  
 counted in terms of operations in the field  $\mathbb{F}$ . The communication complexity measures  
 the number of elements of  $\mathbb{F}$  exchanged during the protocols.

	Standard sumcheck	Fold-DCS	
		PIOP model	with Zeromorph
Number of rounds	$\mu$	$O(\log \mu)$	$O(\log \mu)$
Randomness	$\mu$	$\mu + \log \mu + 1$	$O(\mu \log d \log \mu)$
Query complexity		$2 \log \mu + 3$	
# of commitments (PIOP)		$\log \mu + 1$	
Communication complexity	$d \cdot \mu$		$O(\mu \log d \log \mu)$
Prover complexity	$\mu^2 d^{\mu-1} + 2d H $	$\log(\mu)\mu d^{\mu/2} + 2d H $	$O(d \log(\mu) 2^\mu)$
Verifier complexity	$\mu d \log d$	$O(\log \mu)$	$O(\mu \log d)$
Soundness	$\mu \cdot \frac{d}{ \mathbb{F} }$	$(\log \mu + 1) \cdot \frac{D + 1}{ \mathbb{F} }$	

101 In particular, all the queries made by the verifier in our protocol may be summed up  
 102 in a single batched evaluation when instantiating Fold-DCS with Zeromorph. Zeromorph  
 103 is initially designed to commit to multilinear polynomials. We present an adapted version  
 104 for multivariate polynomials with prescribed partial and total degrees. Since KZG and  
 105 thus Zeromorph require bilinear pairings, this restricts their operation to large fields where  
 106 pairing-friendly elliptic curves can be defined.

107 Recent works focused on building PCS that are *field-agnostic*, contrarily to afore-  
 108 mentioned PCS based on elliptic curves over designated finite fields. Some examples of  
 109 field-agnostic PCS are Brakedown [GLS<sup>+</sup>23], Basefold [ZCF24], BrakingBase [NST24].  
 110 Unfortunately, up to our knowledge, all field-agnostic PCS rely on the standard sumcheck  
 111 protocol, so the number of rounds in the evaluation protocol equals the arity of the  
 112 polynomial. Despite their efficiency with respect to time complexities, there is no point in  
 113 using these PCS for Fold-DCS: this would annihilate our advantage in terms of rounds.

114 It is worth noting that with all currently known PCS with constant round complexity,  
 115 the batched evaluation protocol between the prover and the verifier is the main bottleneck  
 116 of Fold-DCS in terms of time complexities. This raises the natural question of the possibility  
 117 of recursively invoking our sumcheck protocol in the state-of-the-art field-agnostic PCS  
 118 cited above, which we leave for future works.

119 **Mixing the standard and Fold-DCS approaches** With this new efficient sumcheck  
 120 protocol Fold-DCS in hand, one can reasonably suggest to mix both the standard protocol  
 121 and Fold-DCS. A natural idea to lower the round complexity of the standard sumcheck  
 122 protocol is to send at each round a polynomial with a fixed arity  $k = k(\mu)$  (which may  
 123 depend on the total number  $\mu$  of variables). This reduces a  $\mu$ -variate sumcheck to  $\mu/k$   
 124 sumchecks of arity  $k$ , which can be merged into one sumcheck of arity  $k$  using the folding  
 125 technique described in §3.2.1. However, both the communication and the verifier complexity  
 126 are now higher due to the fact that  $k$ -variate polynomials are exchanged and used for  
 127 sumchecks. This may, like in Fold-DCS, be alleviated by having  $\mathsf{P}$  provide oracle access to  
 128 the polynomials; for each of the sumchecks,  $\mathsf{V}$  then needs to make  $|H|^k$  queries.

129 For the sake of the query complexity, this  $k$ -variate sumcheck should again be handled  
 130 using the standard sumcheck protocol, or using Fold-DCS. In the former case, the total  
 131 round number is  $\mu/k + k$ , which is minimal when  $k = \sqrt{\mu}$ . This means that the soundness  
 132 error is  $O(\sqrt{\mu d}/q)$ : this is much worse than Fold-DCS in terms of round complexity as well  
 133 as soundness. In the latter case, the total number of rounds is  $\mu/k + \log(k)$ . The round  
 134 number is minimal when  $k = \mu$ , as will be the soundness error; this corresponds to the  
 135 case where Fold-DCS is directly performed on the initial polynomial. However, the query  
 136 complexity is  $1 + \log(k)$ , and is minimal when  $k = 1$ , which corresponds to the standard  
 137 protocol.

## 138 2 Preliminaries

### 139 2.1 Interactive proofs and sumcheck protocols

140 *Interactive proofs* (IPs) were introduced by Goldwasser, Micali, and Rackoff [GMR89]: in  
 141 an  $rn$ -round interactive proof for a language  $\mathcal{L}$ , a probabilistic polynomial-time verifier  $\mathsf{V}$   
 142 exchanges  $rn$  messages with an unbounded prover  $\mathsf{P}$ , and then accepts or rejects. The goal  
 143 is that  $\mathsf{V}$  accepts when the inputs belong to  $\mathcal{L}$ , and rejects with high probability when  
 144 they do not. *Interactive oracle protocols* (IOP) were introduced by Ben-Sasson, Chiesa  
 145 and Spooner [BSCS16] and differ from IPs by the way the verifier accesses the prover's  
 146 messages. At each round, the verifier sends a message to the prover which he reads in  
 147 full, whereas the prover replies with a message to the verifier, which she can *query* (via  
 148 random access) in the given round and all later rounds. In both cases, we denote by  
 149  $\langle \mathsf{P} \leftrightarrow \mathsf{V} \rangle \in \{\text{accept}, \text{reject}\}$  the output of  $\mathsf{V}$  after interacting with  $\mathsf{P}$ . Certain inputs of  $\mathsf{V}$   
 150 can also only be given via oracle access. Traditionally, this difference is highlighted by  
 151 writing  $\mathsf{V}^{i_o}(i_f)$  where  $i_o$  is the set of inputs which  $\mathsf{V}$  accesses via oracles, and  $i_f$  the one  
 152 she can fully read.

153 **Definition 1** (Perfect completeness). An interactive (oracle) proof for a language  $\mathcal{L}$  is  
 154 said to be *perfectly complete* if

$$155 \Pr [\langle \mathsf{P}(i_o, i_f) \leftrightarrow \mathsf{V}^{i_o}(i_f) \rangle = \text{accept} \mid (i_o, i_f) \in \mathcal{L}] = 1.$$

156 **Definition 2** (Soundness). Let  $\mathcal{L} = (\mathcal{L}_\rho)_{\rho \in \mathcal{P}}$  be a family of languages which depend on  
 157 an element  $\rho$  of some parameter space  $\mathcal{P}$ . An interactive (oracle) protocol for  $\mathcal{L}$  is said  
 158 to have *soundness error*  $s: \mathcal{P} \rightarrow \mathbb{R}$  if for any parameter  $\rho \in \mathcal{P}$ , any *unbounded* malicious  
 159 prover  $\tilde{\mathsf{P}}$ , and any inputs  $(i_o, i_f)$ ,

$$160 \Pr [\langle \tilde{\mathsf{P}}(i_o, i_f) \leftrightarrow \mathsf{V}^{i_o}(i_f) \rangle = \text{accept} \mid (i_o, i_f) \notin \mathcal{L}_\rho] \leq s(\rho).$$

161 **Definition 3.** Let  $\mu, d, D$  be nonnegative integers. Let  $\mathbb{F}$  be a finite field. We denote by  
 162  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  the  $\mathbb{F}$ -vector space of  $\mu$ -variate polynomials coefficients in  $\mathbb{F}$  with individual

degrees at most  $d$  and total degree at most  $D$ , *i.e.* generated by the set of monomials

$$\left\{ x_1^{i_1} \dots x_\mu^{i_\mu} \mid \sum_{j=1}^{\mu} i_j \leq D \text{ and } \forall j \in \{1, \dots, \mu\}, i_j \leq d \right\}.$$

**Definition 4.** Let  $\mu, d, D$  be nonnegative integers. Let  $\mathbb{F}$  be a finite field, and  $H$  be a subset of  $\mathbb{F}$ . A *sumcheck protocol* for  $\mu$ -variate polynomials with coefficients in  $\mathbb{F}$ , partial degrees  $\leq d$  and total degree  $\leq D$  for the summation set  $H$  is an interactive (oracle) protocol for the language

$$\mathcal{L}_{\mu, d, D, \mathbb{F}, H} = \left\{ (f, S) \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d, D} \times \mathbb{F} \mid \sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) = S \right\}.$$

*Remark 1.* Using the low-degree extension [BFLS91, Proposition 4.1], we can assume w.l.o.g. that  $d \leq |H| - 1$ . Moreover, the degrees and the arity satisfy  $D \leq \mu d$ .

We are going to study sumcheck protocols in the Polynomial IOP model, as introduced in [BFS20, Definition 5]. We give here a slightly modified definition that is more suitable for the sumcheck in terms of degree bounds and arity.

**Definition 5** ( $(\mu, d, D)$ -Polynomial IOP). Let  $\mathcal{L}$  be a language,  $\mathbb{F}$  some finite field, and  $\mu, d, D \in \mathbb{N}$ . A  $(\mu, d, D)$ -Polynomial IOP for  $\mathcal{L}$  with partial degree bound  $d$  and total degree bound  $D$  over  $\mathbb{F}$  is a pair of interactive machines  $(P, V)$ , satisfying the following description.

- $(P, V)$  is an interactive proof for  $\mathcal{L}$ ;
- $P$  sends polynomials  $f_i(\mathbf{x}) \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d, D}$  to  $V$ ;
- $V$  is an oracle machine with access to a list of oracles, which contains one oracle for each polynomial it has received from the prover.
- When an oracle associated with a polynomial  $f_i$  is queried on a point  $\mathbf{z}_j \in \mathbb{F}^\mu$ , the oracle responds with the value  $f_i(\mathbf{z}_j)$ .

The computation of the soundness error of sumcheck protocols relies on the well-known Schwartz-Zippel lemma [DL78, Zip79, Sch80].

**Lemma 1** (Schwartz-Zippel). *Let  $f \in \mathbb{F}[\mathbf{x}]$  be a nonzero  $\mu$ -variate polynomial of total degree  $D$ . For uniformly picked  $\mathbf{a} \in H^\mu$ ,*

$$\Pr_{\mathbf{a}}[f(\mathbf{a}) = 0] \leq \frac{D}{|H|}.$$

## 2.2 The standard sumcheck protocol

The standard sumcheck protocol [LFKN92] is described in Protocol 1. In this protocol, the verifier  $V$  checks at each round the sum of a univariate polynomial sent by the prover  $P$ . In the end,  $V$  queries one evaluation of the initial function to ensure consistency. The univariate sumchecks at each round are usually presented as being carried out by hand; however,  $V$  may also run a univariate sumcheck protocol to do this.

Its soundness is usually computed by considering a union over all rounds of the protocol, resulting in an upper bound of  $\mu d / |\mathbb{F}|$ . This result can be refined as follows.

**Protocol 1:** The standard sumcheck protocol [LFKN92]**Parameters:** integer  $\mu$ , field  $\mathbb{F}$ , and  $H \subseteq \mathbb{F}$ .**Inputs:**  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  and  $S \in \mathbb{F}$ .

$$P(f, S)$$

$$V^f(S)$$

Compute

$$f_1(x_1) = \sum_{a \in H^{\mu-1}} f(x_1, a_2, \dots, a_\mu)$$

$$\xrightarrow{f_1}$$

$$\sum_{a \in H} f_1(a) \stackrel{?}{=} S$$

$$\xleftarrow{\alpha_1 \stackrel{\$}{\leftarrow} \mathbb{F}}$$

Compute

$$f_2(x_2) = \sum_{a \in H^{\mu-2}} f(\alpha_1, x_2, a_3, \dots, a_\mu)$$

$$\xrightarrow{f_2}$$

$$\sum_{a \in H} f_2(a) \stackrel{?}{=} f_1(\alpha_1)$$

 $\vdots$ 

$$\xleftarrow{\alpha_{i-1} \stackrel{\$}{\leftarrow} \mathbb{F}}$$

Compute

$$f_i(x_i) = \sum_{a \in H^{\mu-i}} f(\alpha_1, \dots, \alpha_{i-1}, x_i, a_{i+1}, \dots, a_\mu)$$

$$\xrightarrow{f_i}$$

$$\sum_{a \in H} f_i(a) \stackrel{?}{=} f_{i-1}(\alpha_{i-1})$$

 $\vdots$ 

Compute

$$f_\mu(x_\mu) = f(\alpha_1, \dots, \alpha_{\mu-1}, x_\mu)$$

$$\xrightarrow{f_\mu}$$

$$\sum_{a \in H} f_\mu(a) \stackrel{?}{=} f_\mu(\alpha_{\mu-1})$$

$$f_\mu(\alpha_\mu) \stackrel{?}{=} f(\alpha_1, \dots, \alpha_\mu)$$

with  $\alpha_\mu \stackrel{\$}{\leftarrow} \mathbb{F}$

198 **Proposition 1** (Soundness). *Let  $p$  be the soundness error of the univariate sumcheck*  
 199 *protocol used at each round to check if the sum of  $f_i$  over  $H$  equals  $f_{i-1}(\alpha_{i-1})$ . The number*

$$200 \quad s_{d,\mathbb{F},p}(\mu) := \Pr_{\alpha_1, \dots, \alpha_\mu} \left[ \langle \tilde{\mathbb{P}}_{\mu,d,\mathbb{F},H}(f, S) \leftrightarrow \mathbb{V}_{\mu,d,\mathbb{F},H}^f(S) \rangle = \text{accept} \mid \sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) \neq S \right]$$

201 *satisfies*

$$202 \quad s_{d,\mathbb{F},p}(\mu) \leq 1 - \left(1 - \frac{d}{|\mathbb{F}|}\right)^{\mu-1} \left(1 - \max\left(p, \frac{d}{|\mathbb{F}|}\right)\right).$$

203 *When  $p \leq d/|\mathbb{F}| \leq 1$ , this is bounded from above by  $\mu d/|\mathbb{F}|$ .*

204 *Proof.* We are going to provide a recurrence relation bounding  $s_{d,\mathbb{F},p}(\mu)$  in terms of  
 205  $s_{d,\mathbb{F},p}(\mu-1)$ . We assume that the sum of  $f$  over  $H^\mu$  is different from  $S$ . First, suppose  
 206  $\mu \geq 2$ . During the first round of the protocol,  $\tilde{\mathbb{P}}$  sends a function  $\tilde{f}_0$  which may or may  
 207 not be equal to the function  $f_0$  defined in the protocol.

- 208 • If  $\tilde{f}_1 = f_1$ , then the sum of  $\tilde{f}_1$  over  $H^\mu$  is not  $S$ , hence the univariate sumcheck of  
 209 the first round passes with probability at most  $p$ .
- 210 • If  $\tilde{f}_1 \neq f_1$ , then
  - 211 – either  $\tilde{f}_1(\alpha_1) = f_1(\alpha_1)$ , which happens with probability  $u \leq d/|\mathbb{F}|$  since  
 212  $\deg(f_1) \leq d$ ,
  - 213 – or  $\tilde{f}_1(\alpha_1) \neq f_1(\alpha_1)$ , which happens with probability  $1-u$ . In this case,  $\mathbb{V}$  accepts  
 214 with probability at most  $s_{d,\mathbb{F},p}(\mu-1)$ , since the remainder of the protocol is just  
 215 a sumcheck for the  $(\mu-1)$ -variate function  $f(\alpha_1, x_2, \dots, x_\mu)$  with an incorrect  
 216 claimed sum  $\tilde{f}_1(\alpha_1)$ .

217 Hence when  $\tilde{f}_1 \neq f_1$ , the probability that  $\mathbb{V}$  accepts is smaller than or equal to  
 218  $u \cdot 1 + (1-u) \cdot s_{d,\mathbb{F},p}(\mu-1)$ . Since  $s_{d,\mathbb{F},p}(\mu-1) \leq 1$  and  $u \leq d/|\mathbb{F}|$ , this is bounded  
 219 from above by

$$220 \quad d/|\mathbb{F}| + (1 - d/|\mathbb{F}|)s_{d,\mathbb{F},p}(\mu-1).$$

221 Taking both of these cases into account, we obtain

$$222 \quad s_{d,\mathbb{F},p}(\mu) \leq \max\left(p, \frac{d}{|\mathbb{F}|} + \left(1 - \frac{d}{|\mathbb{F}|}\right) s_{d,\mathbb{F},p}(\mu-1)\right).$$

223 When  $\mu = 1$ , we may consider the same two cases; the probability of the second case is  
 224 just  $d/|\mathbb{F}|$  since  $\mathbb{V}$  never accepts if  $\tilde{f}_1(\alpha_1) \neq f_1(\alpha_1)$ . Hence

$$225 \quad s_{d,\mathbb{F},p}(1) \leq \max\left(p, \frac{d}{|\mathbb{F}|}\right).$$

226 Consider the sequence  $(t_\mu)_{\mu \geq 1}$  defined by

$$227 \quad t_1 = \max(p, d/|\mathbb{F}|)$$

228 and for all  $\mu \geq 1$ ,  $t_{\mu+1} = \max(p, d/|\mathbb{F}| + (1 - d/|\mathbb{F}|)t_\mu)$ . Then  $s_{d,\mathbb{F},p}(\mu) \leq t_\mu$  for all  $\mu \geq 1$ .  
 229 Using the fact that for all  $x \in [0, 1]$ ,  $d/|\mathbb{F}| + (1 - d/|\mathbb{F}|)x \geq x$ , one can easily show that:

- 230 • If  $p \leq d/|\mathbb{F}|$  then  $t_1 = d/|\mathbb{F}|$ , and for all  $\mu \geq 1$ ,

$$231 \quad t_\mu = 1 - \left(1 - \frac{d}{|\mathbb{F}|}\right)^\mu.$$

- If  $p > d/|\mathbb{F}|$  then  $t_1 = p$  and for all  $\mu \geq 1$ ,

$$t_\mu = 1 - \left(1 - \frac{d}{|\mathbb{F}|}\right)^{\mu-1} (1-p).$$

The result follows immediately.  $\square$

### 3 A sumcheck protocol with logarithmic round complexity

Consider a finite field  $\mathbb{F}$ , and a subset  $H$  of  $\mathbb{F}$ . In this section, we describe a sumcheck protocol for polynomials in  $\mu = 2^m$  variables. We still denote by  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  the space of  $\mu$ -variate polynomials with coefficients in  $\mathbb{F}$ , of partial degree in each variable bounded by  $d$  and total degree bounded by  $D \leq d^\mu$ . Let  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ , and  $S \in \mathbb{F}$  be the claimed value of the sum of all evaluations of  $f$  over  $H^\mu$ . We first describe a somewhat crude but easily understandable version of the protocol. After that, we present the genuine protocol.

#### 3.1 A simplified version of the protocol

The simple protocol  $\text{DCS}_\mu$  described below showcases the core idea of our construction. It takes as inputs a  $\mu$ -variate polynomial  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  and a value  $S \in \mathbb{F}$ , and it recursively checks the assertion

$$\sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) = S.$$

We will denote by  $\text{DCS}_\mu[f, S]$  the execution of the protocol  $\text{DCS}_\mu$  on the inputs  $f, S$ , which will be refined later in order to achieve a better communication complexity.

**Base case** For  $\mu = 1$  (*i.e.*  $m = 0$ ), the polynomial  $f$  is univariate. In that case,  $\text{DCS}_1[f, S]$  is just the verifier checking by hand that  $\sum_{a \in H} f(a) = S$ . If  $H$  has a particular structure, this may be replaced with another univariate sumcheck protocol (see §3.3.2).

**General case** For  $\mu \geq 2$ ,  $\text{DCS}_\mu[f, S]$  recursively calls  $\text{DCS}_{\mu/2}$  as described below.

**A few observations** At each round, the number of parallel executions of the protocol doubles, but the number of variables of the functions involved is halved. So after  $i$  rounds of  $\text{DCS}_\mu$ , there are  $2^i$  parallel instances of  $\text{DCS}_{\mu/2^i}$ , which is a sumcheck protocol for  $2^{m-i}$ -variate polynomials. Thus, protocol  $\text{DCS}_\mu$  has  $\log_2(\mu)$  rounds, and ends with  $\mu$  univariate sumchecks. In order to reduce the randomness and communication complexity, the verifier may use the same randomness  $\alpha$  for every parallel execution of the protocol.

The relations between the different functions appearing in a full execution of  $\text{DCS}_\mu$  can be represented by the tree in Figure 1. The solid edges lead to functions which are computed and sent by the prover, while the dashed edges lead to functions which are implicitly defined during the protocol but not actually computed, and on which the prover has no influence.

Let us now provide an intuitive explanation of the soundness of  $\text{DCS}_\mu$ , as well as an example.



**Protocol 2:**  $\text{DCS}_\mu$

**Parameters:** field  $\mathbb{F}$ , arity  $\mu = 2^m$ , degrees  $d$  and  $D$  and  $H \subseteq \mathbb{F}$ .  
**Inputs:**  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  and  $S \in \mathbb{F}$ .

$\text{P}_{\mathbb{F},H}(f, S)$

$\text{V}_{\mathbb{F},H}^f(S)$

Compute for  $\mathbf{x} = \mathbf{x}_{1:\mu/2}$

$$f_0(\mathbf{x}) = \sum_{\alpha \in H^{\mu/2}} f(\mathbf{x}, \alpha)$$

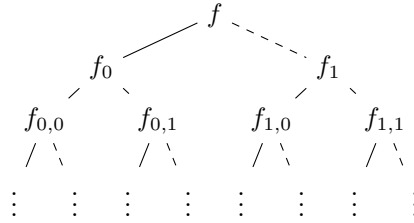
$\xrightarrow{f_0}$

$\xleftarrow{\alpha \xleftarrow{\$} \mathbb{F}^{\mu/2}}$

Both set

- $f_1(\mathbf{x}) = f(\alpha, \mathbf{x})$  (which can be accessed by  $\text{V}$  as a virtual oracle when needed),
- $S_1 = f_0(\alpha)$  (which can be requested by  $\text{V}$  when needed),

and then perform in parallel  $\text{DCS}_{\mu/2}[f_0, S]$  and  $\text{DCS}_{\mu/2}[f_1, S_1]$ .



**Figure 1:** The tree of functions involved in  $\text{DCS}_\mu$  for  $\mu \in \{8, 4, 2\}$ . The children with dashed line from their parents are not computed by  $\text{P}$  and are dealt as virtual oracles in the protocol.

267 **Intuition behind the soundness of  $\text{DCS}_\mu$**  In the standard sumcheck protocol, the  
 268 verifier checks one univariate sum at each round, which ties the sums of the functions  
 269 sent by the prover to the claimed sum of the function  $f$ . With only these checks however,  
 270 the prover could send any functions which have the right sum. This is why the verifier  
 271 performs one final evaluation check which ties the functions sent by the prover to the  
 272 function  $f$  itself. In our protocol, these goals are achieved in a different way: the sum  
 273 of the function  $f_0$  sent by the prover is that of  $f$ , while the sum of  $f_1$  (a function which  
 274 is not sent by the prover, but computed directly from  $f$ ) ties  $f_0$  to  $f$ . The soundness  
 275 error of  $\text{DCS}_\mu$  is computed in a similar way to that of the classical sumcheck protocol: at  
 276 every round, there is a probability  $D/|\mathbb{F}|$  that the function sent by the prover accidentally  
 277 has the same evaluation as the function required by the protocol. The total soundness  
 278 is  $O(\log(\mu)D/|\mathbb{F}|)$ . A precise proof of this will be given later for the refined protocol  
 279 **Fold-DCS**. The following example illustrates the soundness in a simple case.

280 **Example 1.** Consider the function  $f(x, y) = x + y \in \mathbb{F}_3[x, y]$ , and the set  $H = \{0, 1\} \subset \mathbb{F}_3$ .  
 281 We have

$$282 \sum_{a,b \in H} f(a, b) = 1.$$

283 Consider a claimed sum  $S = 0 \neq 1$ . The protocol  $\text{DCS}_1[f, S]$  asks the prover P to send one  
 284 linear function  $\tilde{f}_0(x) = rx + t$  with  $r, t \in \mathbb{F}_3$ . Let us find the couples  $(r, t) \in (\mathbb{F}_3)^2$  which  
 285 maximize the probability that V accepts. The verifier picks  $\alpha \in \mathbb{F}_3$  and checks that

$$286 \begin{cases} \tilde{f}_0(0) + \tilde{f}_0(1) & = S \\ f(\alpha, 0) + f(\alpha, 1) & = \tilde{f}_0(\alpha) \end{cases}$$

287 These two verifications amount to the following linear system in the variables  $r, t$  over  $\mathbb{F}_3$ .

$$288 \begin{cases} r + 2t & = S \\ \alpha + \alpha + 1 & = r\alpha + t \end{cases} \iff \begin{cases} r - t & = S \\ r\alpha + t & = -\alpha + 1 \end{cases}$$

289 which since  $S = 0$ , is equivalent to the following

$$290 \begin{cases} r & = t \\ (1 + \alpha)t & = 1 - \alpha \end{cases}$$

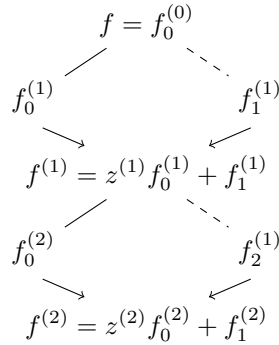
291 If  $\alpha = -1$ , this system has no solution, the second equation being “ $0 = 2$ ”. If  $\alpha = 1$ , the  
 292 only solution is  $(r, t) = (0, 0)$ . If  $\alpha = 0$ , the only solution is  $(r, t) = (1, 1)$ . Hence the best  
 293 possible strategy for the prover P is to pick  $t \in \{0, 1\}$  and send  $\tilde{f}_0^{(1)} = tx + t$ . In this case,  
 294 the verifier V accepts if and only if  $\alpha = 1 - t$ . So the probability of V accepting is  $1/3$   
 295 when  $\alpha$  is uniformly random in  $\mathbb{F}_3$ .

## 296 3.2 The protocol Fold-DCS

297 Let us set the notations for this section:  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  is the tested function,  $H \subset \mathbb{F}$  is  
 298 the evaluation set, and  $S \in \mathbb{F}$  is the claimed sum. We describe Fold-DCS in Protocol 3.

### 299 3.2.1 Folding for better complexity

300 One of the drawbacks of both the standard and our sumcheck protocol DCS is the fact  
 301 that the verifier needs to perform as many univariate sumchecks as there are variables.  
 302 The protocol DCS may be improved in order to require the verifier to perform only a single  
 303 univariate sumcheck  $\text{US}_{d,H}$  of a degree- $d$  polynomial over  $H$  at the end. This is done  
 304 using a folding technique. Each step of protocol DCS consists in splitting one  $2^m$ -variate  
 305 sumcheck into two  $2^{m-1}$ -variate sumchecks; replacing these two sumchecks with a linear  
 306 combination of the two allows to keep just one function at each step of the protocol (see  
 307 Figure 2).



**Figure 2:** Tree of functions involved in the first two rounds of the protocol Fold-DCS.

308 *Remark 2.* This folding technique slightly affects the soundness of our protocol compared  
 309 to the simplified version presented in the previous section. Indeed, even if the function  
 310  $\tilde{f}_0^{(1)}$  sent by the prover either does not have the claimed sum or does not have the right  
 311 evaluation at the random point chosen by the verifier, the random linear combination  $f^{(1)}$   
 312 might still have the correct sum. This happens with probability  $1/|\mathbb{F}|$ . We will see in the  
 313 proof of Proposition 3 that, at each round, this quantity is added to the probability that  
 314 the resulting function has the claimed sum. This roughly implies adding  $\log(\mu)/|\mathbb{F}|$  to the  
 315 overall soundness error of the protocol.

**Protocol 3:** Fold-DCS between  $\mathsf{P} = \mathsf{P}_{\mu, \mathbb{F}, H}(f, S)$  and  $\mathsf{V} = \mathsf{V}_{\mu, \mathbb{F}, H}^f(S)$

**Parameters:** field  $\mathbb{F}$ , arity  $\mu = 2^m$  with  $m \geq 1$ , degrees  $d$  and  $D$  and  $H \subseteq \mathbb{F}$ .

**Inputs:**  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  and  $S \in \mathbb{F}$ .

**Commit phase:**

Initialisation:  $f^{(0)} = f$  and  $S^{(0)} = S$ .

1. for  $i \in \{1, \dots, m\}$ :

(a)  $\mathsf{P}$  computes  $f_0^{(i)} = \sum_{\mathbf{a} \in H^{2^{m-i}}} f^{(i-1)}(\cdot, \mathbf{a})$ .

(b)  $\mathsf{P}$  gives oracle access to the  $2^{m-i}$ -variate polynomial  $f_0^{(i)}$ .

(c)  $\mathsf{V}$  picks  $\boldsymbol{\alpha}^{(i)} \xleftarrow{\$} \mathbb{F}^{[m-i]}$  and  $z^{(i)} \xleftarrow{\$} \mathbb{F}$  and sends them to  $\mathsf{P}$ .

(d) Set the polynomials  $f_1^{(i)} = f^{(i-1)}(\boldsymbol{\alpha}^{(i)}, \cdot)$  and  $f^{(i)} = z^{(i)} f_0^{(i)} + f_1^{(i)}$ ,  
and the value  $S^{(i)} = z^{(i)} S^{(i-1)} + f_0^{(i)}(\boldsymbol{\alpha}^{(i)})$ .

2.  $\mathsf{P}$  gives oracle access to the univariate polynomial  $f^{(m)}$ .

**Query phase:**

1.  $\mathsf{V}$  computes  $S^{(m)}$  by

- querying  $f_0^{(j)}(\boldsymbol{\alpha}^{(j)})$  for  $j \in \{1, \dots, m\}$ ,

- using the formula  $S^{(m)} = \prod_{j=1}^m z^{(j)} S + \sum_{j=1}^m \left( \prod_{\ell=j+1}^m z^{(\ell)} \right) f_0^{(j)}(\boldsymbol{\alpha}^{(j)})$ .

2.  $\mathsf{V}$  checks the consistency of  $f^{(m)}$  by

- picking  $\beta \xleftarrow{\$} \mathbb{F}$ ,

- querying  $f^{(m)}(\beta)$ ,  $f(\boldsymbol{\alpha}^{(1)}, \dots, \boldsymbol{\alpha}^{(m)}, \beta)$  and  $f_0^{(j)}(\boldsymbol{\alpha}^{(j+1)}, \dots, \boldsymbol{\alpha}^{(m)}, \beta)$  for  $j \in \{1, \dots, m\}$ , and

- verifying  $f^{(m)}(\beta) = f(\boldsymbol{\alpha}^{(1)}, \dots, \boldsymbol{\alpha}^{(m)}, \beta) + \sum_{j=1}^m z^{(j)} f_0^{(j)}(\boldsymbol{\alpha}^{(j+1)}, \dots, \boldsymbol{\alpha}^{(m)}, \beta)$ .

3.  $\mathsf{V}$  checks  $\sum_{a \in H} f^{(m)}(a) \stackrel{?}{=} S^{(m)}$  via the univariate sumcheck  $\mathsf{US}_{d,H}(f^{(m)}, S^{(m)})$ .

### 3.2.2 Completeness and soundness

In this section, we prove that our protocol Fold-DCS is perfectly complete, and that its soundness error is logarithmic in the number of variables. We recall the notations:  $f$  is a  $\mu = 2^m$ -variate polynomial with coefficients in a field  $\mathbb{F}$ . For  $i \in \{0, \dots, m\}$ , we set  $\mu_i = 2^{m-i}$ . The subset of  $\mathbb{F}$  over which the sums are computed is denoted by  $H$ .

**Proposition 2** (Completeness). *We suppose that the univariate sumcheck protocol used at the last round of Fold-DCS is perfectly complete. If  $\sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) = S$  then, given an honest prover  $P$ ,*

$$\Pr_{\alpha^{(1)}, \dots, \alpha^{(m)}} \left[ \langle P_{\mu, d, \mathbb{F}, H}(f, S) \leftrightarrow V_{\mu, d, \mathbb{F}, H}^f(S) \rangle = \text{accept} \right] = 1.$$

*Proof.* We prove the result by induction on  $m = \log_2(\mu)$ . The base case  $m = 0$  is true, since we suppose that the univariate sumcheck protocol is perfectly complete. For  $m > 0$ , it is enough to prove that for every  $i \in \{0, \dots, m-1\}$ , if the sum of  $f^{(i)}$  over  $H^{\mu_i}$  is  $S^{(i)}$ , then the sum of  $f^{(i+1)}$  over  $H^{\mu_i/2}$  is  $S^{(i+1)}$ . We have

$$\begin{aligned} \sum_{\mathbf{a} \in H^{\mu_i/2}} f^{(i+1)}(\mathbf{a}) &= z^{(i+1)} \sum_{\mathbf{a} \in H^{\mu_i/2}} f_0^{(i+1)}(\mathbf{a}) + \sum_{\mathbf{a} \in H^{\mu_i/2}} f_1^{(i+1)}(\mathbf{a}) \\ &= z^{(i+1)} \sum_{\mathbf{a} \in H^{\mu_i/2}} \sum_{\mathbf{b} \in H^{\mu_i/2}} f^{(i)}(\mathbf{a}, \mathbf{b}) + \sum_{\mathbf{a} \in H^{\mu_i/2}} f^{(i)}(\alpha, \mathbf{a}) \\ &= z^{(i+1)} \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) + \sum_{\mathbf{a} \in H^{\mu_i/2}} f^{(i)}(\alpha, \mathbf{a}) \\ &= z^{(i+1)} S^{(i)} + f_0^{(i+1)}(\alpha) \\ &= S^{(i+1)}. \end{aligned}$$

□

Next, we study the soundness error of our protocol. We recall that the soundness error of the classical protocol is  $\mu d / |\mathbb{F}|$ , where  $d$  is a bound on the partial degrees of the given polynomial. That of Fold-DCS, however, is bounded by  $\log(\mu) D / |\mathbb{F}|$ , where  $D$  is the total degree of the polynomial. Hence, Fold-DCS offers a better soundness as long as the total degree of the polynomial does not far exceed its partial degrees.

**Proposition 3** (Soundness). *Denote by  $p$  the soundness error of the univariate sumcheck protocol executed at the end of protocol Fold-DCS. Let  $\mu = 2^m$  for a positive integer  $m$ . The soundness error of Fold-DCS for  $\mu$ -variate polynomials with coefficients in  $\mathbb{F}$  of total degree  $\leq D$  is bounded above by*

$$1 - \left( 1 - \left( \frac{D+1}{|\mathbb{F}|} - \frac{D}{|\mathbb{F}|^2} \right) \right)^m \left( 1 - \max \left( p, \frac{D}{|\mathbb{F}|} \right) \right).$$

When  $p \leq (D+1)/|\mathbb{F}| \leq 1$ , this is bounded from above by  $(m+1)(D+1)/|\mathbb{F}|$ .

*Proof.* We consider an instance where  $\sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) \neq S$ .

**Notations.** Set  $f^{(0)} = f$  and  $S^{(0)} = S$ . For  $i \geq 1$ , denote by  $\tilde{f}_0^{(i)}$  the function  $\tilde{P}$  actually sends during round  $i$ . Denote by  $f_0^{(i)}$  and  $f_1^{(i)}$  the functions as defined in the protocol computed from  $f^{(i-1)}$ , set  $S^{(i)} = z^{(i)} S^{(i-1)} + \tilde{f}_0^{(i)}(\alpha^{(i)})$ , and  $f^{(i)} = z^{(i)} \tilde{f}_0^{(i)} + f_i^{(1)}$  the function used in the next rounds. Write  $\mu_i = 2^{m-i}$  for the arity of the functions superscripted by  $(i)$ .

This soundness proof is divided into two steps.

- 353 1. We first deal with the commit phase. At each round, we give an upper bound on  
 354 the probability that the sum of the function  $f^{(i)}$  considered in this round has the  
 355 claimed value  $S^{(i)}$ . This yields an upper bound on the probability that the sum of  
 356 the last function  $f^{(m)}$  considered in the protocol has the sum  $S^{(m)}$ .
- 357 2. We then consider what happens in the query phase of the protocol.

358 **Commit phase.** We begin by proving by induction that for all  $i \in \{0 \dots m\}$ , the number

$$359 \quad s^{(i)} = \Pr_{\alpha^{(1)}, \dots, \alpha^{(i)}} \left[ \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) \neq S^{(i)} \right]$$

360 satisfies

$$361 \quad s^{(i)} \geq \left( 1 - \left( \frac{D+1}{|\mathbb{F}|} - \frac{D}{|\mathbb{F}|^2} \right) \right)^i.$$

362 We know that  $s^{(0)} = \Pr \left[ \sum_{\mathbf{a} \in H^{\mu}} f(\mathbf{a}) \neq S \right] = 1$ . Let  $i \geq 1$ . Let us compute the probability

$$363 \quad \Pr \left[ \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) = S^{(i)} \mid \sum_{\mathbf{a} \in H^{\mu_{i-1}}} f^{(i-1)}(\mathbf{a}) \neq S^{(i-1)} \right]$$

364 using the law of total probability with respect to the event “ $\tilde{f}_0^{(i)} = f_0^{(i)}$ ” and its complement.

365 (A) In case  $\tilde{f}_0^{(i)} = f_0^{(i)}$ , its sum over  $H^{\mu_i}$  is not  $S^{(i-1)}$ . Then the sum of  $f^{(i)} = z^{(i)} \tilde{f}_0^{(i)} + f_1^{(i)}$   
 366 over  $H^{\mu_i}$  is  $S^{(i)} = z^{(i)} S^{(i-1)} + \tilde{f}_0^{(i)}(\alpha^{(i)})$  with probability  $1/|\mathbb{F}|$ .

367 (B) In case  $\tilde{f}_0^{(i)} \neq f_0^{(i)}$ ,

- 368 •  $\tilde{f}_0^{(i)}(\alpha^{(i)})$  coincides with  $f_0(\alpha^{(i)})$  with probability say  $v \leq D/|\mathbb{F}|$  by the  
 369 Schwartz-Zippel Lemma (see Lemma 1);
- 370 • if it does not, the sum of  $f^{(i)} = z^{(i)} \tilde{f}_0^{(i)} + f_1^{(i)}$  over  $H^{\mu_i}$  coincides with  $S^{(i)} =$   
 371  $z^{(i)} S^{(i-1)} + \tilde{f}_0^{(i)}(\alpha^{(i)})$  with probability  $1/|\mathbb{F}|$ .

372 Hence, setting

$$373 \quad w = \Pr \left[ \sum_{\mathbf{a}} f^{(i)}(\mathbf{a}) = S^{(i)} \mid \left( \sum_{\mathbf{a}} f^{(i-1)}(\mathbf{a}) \neq S^{(i-1)} \right) \wedge \left( \tilde{f}_0^{(i)} \neq f_0^{(i)} \right) \right], \quad (1)$$

374 we get that

$$375 \quad w = v + (1-v)/|\mathbb{F}| \leq \frac{D+1}{|\mathbb{F}|} - \frac{D}{|\mathbb{F}|^2} =: A.$$

376 Since  $w \geq 1/|\mathbb{F}|$ , the sum of  $f^{(i)}$  equals  $S^{(i)}$  with probability less than  $w$  in each of these  
 377 two cases so

$$378 \quad \Pr \left[ \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) = S^{(i)} \mid \sum_{\mathbf{a} \in H^{\mu_{i-1}}} f^{(i-1)}(\mathbf{a}) \neq S^{(i-1)} \right] \leq w.$$

379 Hence

$$\begin{aligned}
380 \quad 1 - s^{(i)} &= \Pr_{\alpha^{(1)}, \dots, \alpha^{(i)}} \left[ \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) = S^{(i)} \right] \\
381 \quad &\leq (1 - s^{(i-1)}) \cdot 1 + s^{(i-1)} \cdot w && \text{(by the law of total probability)} \\
382 \quad &\leq 1 - (1 - A)^{i-1} + (1 - A)^{i-1} w && \text{(since } A \leq 1) \\
383 \quad &= 1 - (1 - A)^i && \text{(since } w \leq A)
\end{aligned}$$

384 from which we deduce that

$$385 \quad s^{(i)} \geq (1 - A)^i.$$

386 **Query phase.** Now, let us come to the last steps of the protocol. With probability  $s^{(m)}$ ,  
387 we have  $\sum_{a \in H} f^{(m)}(a) \neq S^{(m)}$ . Denote by  $\tilde{f}^{(m)}$  the function sent by  $\tilde{P}$ , which would be  
388 equal to  $f^{(m)}$  if the prover were honest.

- 389 (A) If  $\tilde{f}^{(m)} = f^{(m)}$ , then  $\sum_{a \in H} \tilde{f}^{(m)} \neq S^{(m)}$ , and  $V$  accepts if and only if the univariate  
390 sumcheck on  $\tilde{f}^{(m)}$  (Step 3) passes, which happens with probability  $p$ .
- 391 (B) If  $\tilde{f}^{(m)} \neq f^{(m)}$ , then for  $V$  to accept, the evaluations of  $\tilde{f}^{(m)}$  and  $f^{(m)}$  at  $\beta$  need to  
392 coincide (Step 2), which happens with probability at most  $D/|\mathbb{F}|$ .

393 In total,

$$\begin{aligned}
394 \quad \Pr_{\alpha^{(1)}, \dots, \alpha^{(m)}} \left[ \langle \tilde{P}_{\mu, D, \mathbb{F}, H}(f, S) \leftrightarrow V_{\mu, D, \mathbb{F}, H}^f(S) \rangle = \text{accept} \right] &\leq (1 - s^{(m)}) + s^{(m)} \max \left( p, \frac{D}{|\mathbb{F}|} \right) \\
395 \quad &\leq 1 - (1 - A)^m \left( 1 - \max \left( p, \frac{D}{|\mathbb{F}|} \right) \right).
\end{aligned}$$

396

397

□

398 *Remark 3.* In most cases, this upper bound on the soundness is tight. The best strategy  
399 for a malicious prover can be deduced from the proof, and is similar to that used in the  
400 standard protocol: at each step, send a function which has the claimed sum. However,  
401 there are a few rare instances in which this strategy is not possible. Consider the following  
402 example. The field  $\mathbb{F}$  has characteristic 2, the set  $H$  has even cardinality, and  $f$  is a linear  
403 polynomial in 4 variables. Then the sum of  $f$  over  $H^4$  is necessarily 0. During the first  
404 round of our protocol, the prover sends a linear function in 2 variables: such a function  
405 always sums to 0 over  $H^2$ . Hence, if they want to convince a verifier that the sum is  
406 anything but 0, they cannot implement the optimal strategy at the first round, and they  
407 actually have at best a chance of 1/2 of convincing the verifier.

### 408 3.2.3 A detailed example

409 Let us write out the protocol for a polynomial  $f \in \mathbb{F}[\mathbf{x}_{1:4}]$ . Here,  $m = 2$  so the protocol  
410 has two rounds.

- 411 • Round 1: The prover  $P$  computes

$$412 \quad f_0^{(1)}(x_1, x_2) = \sum_{a_3, a_4 \in H} f(x_1, x_2, a_3, a_4)$$

413 and sends it to  $\mathsf{V}$ . The verifier  $\mathsf{V}$  picks  $\alpha_1^{(1)}, \alpha_2^{(1)}, z^{(1)} \in \mathbb{F}$  at random and sends them  
 414 to  $\mathsf{P}$ . Both  $\mathsf{P}$  and  $\mathsf{V}$  implicitly define the function

$$415 \quad f_1^{(1)}(x_3, x_4) = f\left(\alpha_1^{(1)}, \alpha_2^{(1)}, x_3, x_4\right)$$

416 which  $\mathsf{V}$  can access via  $f$ , knowing  $\alpha_1^{(1)}, \alpha_2^{(1)}$ , as well as

$$417 \quad f^{(1)} = z^{(1)}f_0^{(1)} + f_1^{(1)}$$

$$418 \quad S^{(1)} = z^{(1)}S + f_0^{(1)}\left(\alpha_1^{(1)}, \alpha_2^{(1)}\right).$$

419 • Round 2: The prover  $\mathsf{P}$  computes

$$420 \quad f_0^{(2)}(x) = \sum_{a \in H} f^{(1)}(x, a)$$

421 and sends it to  $\mathsf{V}$ , who then chooses  $\alpha_1^{(2)}, z^{(2)} \in \mathbb{F}$  at random and implicitly defines

$$422 \quad f_1^{(2)}(x) = f^{(1)}\left(\alpha_1^{(2)}, x\right)$$

423 as well as

$$424 \quad f^{(2)}(x) = z^{(2)}f_0^{(2)}(x) + f_1^{(2)}(x)$$

$$425 \quad = z^{(2)}f_0^{(2)}(x) + z^{(1)}f_0^{(1)}\left(\alpha_1^{(2)}, x\right) + f\left(\alpha_1^{(1)}, \alpha_2^{(1)}, \alpha_1^{(2)}, x\right)$$

426 and

$$427 \quad S^{(2)} = z^{(2)}S^{(1)} + f_0^{(2)}\left(\alpha_1^{(2)}\right)$$

$$428 \quad = z^{(2)}\left(z^{(1)}S + f_0^{(1)}\left(\alpha_1^{(1)}, \alpha_2^{(1)}\right)\right) + f_0^{(2)}\left(\alpha_1^{(2)}\right).$$

429 • Final sumcheck: The verifier  $\mathsf{V}$  checks whether

$$430 \quad \sum_{a \in H} f^{(2)}(a) = S^{(2)}.$$

431 This last sumcheck requires computing  $S^{(2)}$  as described by the formula above, using  
 432 oracle queries to  $f_0^{(1)}, f_0^{(2)}$ . The actual computation of the sum is facilitated by  
 433 requiring  $\mathsf{P}$  to give oracle access to  $f^{(2)}$  to  $\mathsf{V}$ , who checks its correctness by choosing  
 434  $\beta \in \mathbb{F}$  and verifying the equality

$$435 \quad f^{(2)}(\beta) = z^{(2)}f_0^{(2)}(\beta) + z^{(1)}f_0^{(1)}\left(\alpha_1^{(2)}, \beta\right) + f\left(\alpha_1^{(1)}, \alpha_2^{(1)}, \alpha_1^{(2)}, \beta\right).$$

436 This in turn requires oracle queries to  $f, f_0^{(1)}, f_0^{(2)}, f^{(2)}$ .

### 437 3.3 Complexities of Fold-DCS in the ROM

438 Here, we consider our protocol Fold-DCS for  $\mu = 2^m$ -variate polynomials of partial degree  
 439 at most  $d$  and total degree at most  $D$  over a finite field  $\mathbb{F}$ .

#### 440 3.3.1 Complexities without the last univariate sumcheck

441 We first compute the complexities without taking the last univariate sumcheck into account.

442 **Round complexity** Each loop of Fold-DCS runs in one round. There are thus  $\log(\mu) + 1$   
443 rounds.

444 **Randomness** At the  $i^{\text{th}}$  loop of Fold-DCS, the verifier  $V$  picks a random  $2^{m-i}$ -tuple  $\alpha^{(i)}$   
445 of elements of  $\mathbb{F}$ , as well as a random element  $z \in \mathbb{F}$ . This amounts to  $\mu + \log \mu$  random  
446 elements during the commitment phase. In addition, at Step 2,  $V$  picks an element of  $\mathbb{F}$ .  
447 The total randomness is  $\mu + \log \mu + 1$ .

448 **Communication complexity** The messages sent to  $P$  by  $V$  are exactly the  $\mu + \log \mu + 1$   
449 random elements she picks along the loops. The prover's messages will be commitments of  
450 the  $\log \mu + 1$  polynomials he sends.

451 **Queries** During the query phase, the verifier  $V$  queries  $\log \mu$  evaluations at Step 1 to  
452 compute  $S^{(m)}$  and  $\log \mu + 2$  evaluations at Step 2 check the value of  $f^{(m)}(\beta)$ . In total  $V$   
453 makes  $q = 2(\log(\mu) + 1)$  queries.

454 *Remark 4.* Note that the evaluations queried for computing  $S^{(m)}$  and  $f^{(m)}(\beta)$  at Steps 1  
455 and 2 can be batched using the sole evaluation point  $(\alpha^{(1)}, \dots, \alpha^{(m)}, \beta) \in \mathbb{F}^\mu$ . For  $S^{(m)}$ , we  
456 evaluate the polynomials  $f_0^{(1)}(x_1, \dots, x_{\mu/2})$ ,  $f_0^{(2)}(x_{\mu/2+1}, \dots, x_{3\mu/4})$ ,  $\dots$ , and  $f_0^{(m)}(x_{\mu-1})$ ,  
457 whereas for  $f^{(m)}(\beta)$ , we evaluate  $f_0^{(i)}$  as polynomials in the last  $\mu/(2^i)$  variables (i.e.  
458  $f_0^{(i)}(x_{\mu-\mu/2^i+1}, \dots, x_\mu)$ ).

459 **Prover complexity** The predominant computations on the prover's side are the ones  
460 performed in the loops. At the  $i^{\text{th}}$  loop,  $P$  compute sums over  $H^{2^{m-i}} = H^{\mu_i}$ .

461 Write

$$462 \quad f^{(i)}(\mathbf{x}) = \sum_{j_1, \dots, j_{\mu_i}} \lambda_{j_1, \dots, j_{\mu_i}} \prod_{k=1}^{\mu_i} x_k^{j_k}.$$

463 Then

$$464 \quad \sum_{\mathbf{a} \in H^{2^{m-i}}} f^{(i)}(\mathbf{a}) = \sum_{j_1, \dots, j_{\mu_i}} \lambda_{j_1, \dots, j_{\mu_i}} \prod_{k=1}^{\mu_i} \sigma_{j_k} \quad (2)$$

465 where

$$466 \quad \sigma_j = \sum_{a \in H} a^j.$$

467 All the  $\sigma_j$  can be simultaneously computed in  $d|H|$  additions and  $d|H|$  multiplications in  
468  $\mathbb{F}_q$ . As the polynomial  $f^{(i)}$  has partial degree  $d$  in each variable, the number of terms in  
469 (2) is bounded from above by  $d^{\mu_i}$ . Each term can be computed using  $\mu_i$  multiplications in  
470  $\mathbb{F}_q$ . Knowing the sums  $\sigma_j$ , the total number of  $\mathbb{F}_q$ -operations to compute the sum of  $f^{(i)}$   
471 over  $H^{\mu_i}$  is  $\mu_i d^{\mu_i}$ . Summing over the  $m$  rounds and bounding each term by the largest  
472 one, we get

$$473 \quad \sum_{i=1}^m \mu_i d^{\mu_i} \leq m \frac{\mu}{2} d^{\mu/2}$$

474 and the overall complexity is  $O(m\mu d^{\mu/2} + d|H|)$   $\mathbb{F}_q$ -operations.

475 **Verifier complexity** The verifier  $V$  computes, in the end, two linear combinations of  
476 these evaluations. The coefficients of this linear combination are products of field elements;  
477 in total, there are  $\log \mu$  products to compute the products of the  $z^{(i)}$  as well as  $2 \log \mu$   
478 sums and  $2 \log \mu + 1$  products to compute the linear combinations. This amounts to  $2 \log \mu$   
479 sums and  $3 \log \mu + 1$  products in the field  $\mathbb{F}$ .



### 480 3.3.2 Total complexities

**Table 2:** Total complexities of Fold-DCS including the ones of  $\text{US}_{d,H}$ . For unstructured  $H$ ,  $\text{US}_{d,H}$  is performed by  $\mathbf{V}$  without the prover's help. For  $H$  coset of  $(\mathbb{F}^\times, \times)$  or  $(\mathbb{F}, +)$ ,  $\mathbf{V}$  and  $\mathbf{P}$  perform Aurora's sumcheck protocol [BSCR<sup>+</sup>19].

	Fold-DCS without $\text{US}_d$	Fold-DCS + $\text{US}_{d,H}$	
		Unstructured $H$	$H$ coset
Round	$\log \mu + 1$		$\log \mu + 2$
Randomness in $\mathbb{F}$	$\mu + \log \mu + 1$		$\mu + \log \mu + 2$
Communication	$\mu + \log \mu$	$\mu + \log \mu +  H $	$\mu + \log d$
Number of commitments	$\log \mu + 1$		$\log \mu + 3$
Queries	$2(\log \mu + 1)$	$2(\log \mu + 1) +  H $	$2(\log(\mu) + 2)$
Prover's time (op. in $\mathbb{F}$ )	$O(\log(\mu)\mu d^{\mu/2} + d H )$		
Verifier's time (op. in $\mathbb{F}$ )	$5 \log \mu + 1$	$5 \log \mu +  H $	$O(\log(\mu d) + \log  H )$

481 **Univariate sumcheck protocols** Univariate sumcheck protocols are protocols for the  
482 language

$$483 \quad \mathcal{L}_{d,\mathbb{F},H} = \left\{ (f, S) \in \mathbb{F}[x]_d \times \mathbb{F} \mid \sum_{a \in H} f(a) = S \right\}$$

484 in which the verifier  $\mathbf{V}$  has oracle access to  $f$ . By default, the verifier may just query  $|H|$   
485 values of  $f$  and compute the sum. However, in order to reduce the number of queries, there  
486 are better options in specific cases. In particular, when  $H$  is a coset modulo a subgroup  
487 of either  $(\mathbb{F}, +)$  or  $(\mathbb{F}^\times, \times)$ , such protocols may be found in Aurora [BSCR<sup>+</sup>19, §5]. The  
488 resulting PIOP for the sumcheck relation, described in detail in [ACY23, §6.1] runs in one  
489 round. The prover gives access to two polynomials, which the verifier queries at a random  
490 element of  $\mathbb{F}$ . The verifier performs  $O(\log |H|)$  field operations.

## 491 4 Instantiating Fold-DCS with a polynomial commit- 492 ment scheme

493 In order to instantiate the oracle accesses in Fold-DCS, we may use Fold-DCS with a  
494 polynomial commitment scheme (PCS) for  $\mu$ -variate polynomials.

### 495 4.1 Polynomial commitment schemes

496 Let us define a PCS as needed for Fold-DCS.

497 **Definition 6.** A  $\mu$ -variate  $(d, D)$ -degree polynomial commitment scheme (PCS) is a  
498 quadruple (Setup, Commit, Open, Eval) that satisfies the following properties.

- 499 • Setup  $(1^\lambda, \mu, d, D)$  generates public parameters  $\text{pp}$  (a structured reference string)  
500 suitable to commit to polynomials in  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ .
- 501 • Commit  $(\text{pp}, f)$  outputs a commitment  $C$  to the polynomial  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ , using  $\text{pp}$ .
- 502 • Open  $(\text{pp}, f, C)$  checks if the commitment  $C$  is correctly computed from the polynomial  
503  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  using  $\text{pp}$ .
- 504 • Eval is a (public-coin) protocol between two parties, a prover  $\mathbf{P}_{\text{PC}}$  and a verifier  $\mathbf{V}_{\text{PC}}$   
505 that either accepts or rejects. The prover is given a polynomial  $f \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ . Both  
506 parties receive the following:

- 507 – the security parameter  $\lambda$ , the arity  $\mu$  and the degrees  $d$  and  $D$ ,
- 508 – the public parameters  $\mathbf{pp}$ , where  $\mathbf{pp} = \text{Setup}(1^\lambda, \mu, d, D)$ ,
- 509 – an evaluation point  $x$  and the alleged opening  $y$ ,
- 510 – the alleged commitment  $C$  for the polynomial  $f$ .

511 The protocol Fold-DCS for  $\mu = 2^m$ -variate polynomials in the polynomial IOP model  
 512 requires  $\mathbf{V}$  to query  $2 \log \mu + 2$  polynomial evaluations. As the partial and total degrees do  
 513 not increase through the protocol, the same commitment scheme for  $\mu$ -variate polynomials  
 514 may be used throughout the protocol. In particular, if the PCS in question requires  
 515 a trusted setup, this may be dealt with beforehand. Moreover, as noted in Remark  
 516 4, it is possible for  $\mathbf{V}$  to get all these evaluations at one by interacting with  $\mathbf{P}$  via a  
 517 batched-evaluation protocol, which we will recall here.

518 **Definition 7.** A  $\mu$ -variate  $(d, D)$ -degree PCS as in Definition 6 allows *batched evaluation*  
 519 if for every positive integer  $\ell$ , there exists a two-party protocol  $\ell$ -Eval which takes as input  
 520 an  $\ell$ -tuple  $(f_1, \dots, f_\ell)$  of polynomials and provides both parties with the following:

- 521 • the security parameter  $\lambda$ , the arity  $\mu$  and the degrees  $d$  and  $D$ ,
- 522 • the public parameters  $\mathbf{pp}$ , where  $\mathbf{pp} = \text{Setup}(1^\lambda, \mu, d, D)$ .
- 523 • An evaluation point  $x$  and the alleged openings  $y_1, \dots, y_\ell$ ,
- 524 • the alleged commitments  $C_1, \dots, C_\ell$  for the polynomials  $f_1, \dots, f_\ell$ .

525 **Definition 8.** A function  $f: \mathbb{N} \rightarrow \mathbb{N}$  is said to be negligible if for any positive integer  
 526  $c$ , there is an integer  $\lambda_c$  such that for any  $\lambda \geq \lambda_c$ ,  $f(\lambda) < \lambda^{-c}$ . In that case, we write  
 527  $f(\lambda) = \text{negl}(\lambda)$ .

528 **Definition 9.** A  $\mu$ -variate  $(d, D)$ -degree PCS as in Definition 6 is said to be

- 529 • *extractable* if for any PPT adversary that computes a valid commitment  $C$ , there is  
 530 a PPT extractor algorithm which, given  $C$ , produces a function  $\tilde{f}$  that opens  $C$  with  
 531 overwhelming probability. Formally, for any PPT adversary  $\tilde{P}$ , there exists a PPT  
 532 algorithm  $E_{\tilde{P}}$  such that

$$533 \Pr \left[ \begin{array}{l} \exists g: C = \text{Commit}(\mathbf{pp}, g) \\ \wedge \text{Open}(\mathbf{pp}, f, C) = \text{reject} \end{array} \middle| \begin{array}{l} \mathbf{pp} \leftarrow \text{Setup}(1^\lambda, \mu, d, D) \\ C \leftarrow \tilde{P}(\mathbf{pp}) \\ f \leftarrow E_{\tilde{P}}(C, \mathbf{pp}) \end{array} \right] = \text{negl}(\lambda),$$

- 534 • *computationally binding* if for any probabilistic polynomial-time (PPT) algorithm  $A$ ,

$$535 \Pr \left[ \begin{array}{l} f \neq g \\ \wedge \text{Open}(\mathbf{pp}, f, C) = \text{accept} \\ \wedge \text{Open}(\mathbf{pp}, g, C) = \text{accept} \end{array} \middle| \begin{array}{l} \mathbf{pp} \leftarrow \text{Setup}(1^\lambda, \mu, d, D) \\ f, g, C \leftarrow A(\mathbf{pp}) \end{array} \right] = \text{negl}(\lambda),$$

- 536 • *computationally evaluation-binding* if for any PPT algorithm  $A$  and PPT prover  $\tilde{P}$ ,

$$537 \Pr \left[ \begin{array}{l} y \neq y' \\ \wedge \langle \tilde{P}(C, x, y) \xrightarrow{\text{Eval}} \mathbf{V}_{\text{PC}}(C, x, y) \rangle = \text{accept} \\ \wedge \langle \tilde{P}(C, x, y') \xrightarrow{\text{Eval}} \mathbf{V}_{\text{PC}}(C, x, y') \rangle = \text{accept} \end{array} \middle| \begin{array}{l} \mathbf{pp} \leftarrow \text{Setup}(1^\lambda, \mu, d, D) \\ C, x, y, y' \leftarrow A(\mathbf{pp}) \end{array} \right] = \text{negl}(\lambda).$$

538 The evaluation-binding property for PCS with batched evaluation of  $\ell$  polynomials is  
 539 similar: the top line  $y \neq y'$  in the probability is replaced by  $\exists i \in \{1, \dots, \ell\}, y_i \neq y'_i$ .

540 *Remark 5.* The extractability condition defined above is strong, and may require working  
 541 in a model with additional assumptions. For instance, the PCS used in Section 4.2.1 is  
 542 extractable in the AGM model.

## 4.2 Soundness and complexity of our protocol with a PCS allowing batching evaluation

In the following, we will use a PCS that allows batched evaluation. We write  $t(P_{\ell\text{-PC}})$  (resp.  $t(V_{\ell\text{-PC}})$ ) for the prover's (resp. verifier's) time complexity for  $\ell$ -Eval. We denote by  $rn(\ell\text{-Eval})$  the number of rounds of the  $\ell$ -batched evaluation protocol, and by  $\text{rand}(\text{Commit})$  and  $\text{rand}(\ell\text{-Eval})$  the amount of random field elements required in `Commit` and  $\ell$ -Eval. The notation  $cc(\ell\text{-Eval})$  stands for the communication complexity of the  $\ell$ -batched evaluation protocol.

When instantiating `Fold-DCS`, we set  $\ell = 2(\log \mu + 1)$ . We suppose that `P` begins by sending a commitment `Commit`( $f$ ) of the initial polynomial  $f$  to `V`. Each time `P` is supposed to send a polynomial  $f^{(i)}$ , he now sends `Commit`( $f^{(i)}$ ). At the end of the protocol, `V` and `P` engage in the protocol  $\ell$ -Eval for `V` to get the evaluations she needs to compute  $S^{(m)}$  and  $f^{(m)}(\beta)$ , as explained in Remark 4.

The complexities of the instantiated version of `Fold-DCS` are thus the sum of the complexities of the IOP protocol and the ones of  $\ell$ -Eval, taking into account the last univariate sumcheck.

In this setting, the soundness of our protocol is no longer statistical soundness, but computational soundness: polynomial commitment schemes usually have a computational evaluation-binding property, meaning that for `P` to convince `V` of a false evaluation value, `P` would have to solve a computationally hard problem.

A simple adaption of the soundness of the polynomial IOP protocol (Proposition 3) gives the soundness of the instantiated version depending on the soundness of the PCS involved.

**Corollary 1** (Computational soundness). *Let  $\mu, d, D$  be positive integers. Let  $\lambda$  be a security parameter. Consider protocol `Fold-DCS` for  $\mu$ -variate polynomials with coefficients in  $\mathbb{F}$  of total degree  $\leq D$  and partial degree  $\leq d$ , instantiated with a PCS allowing batch-evaluation. This PCS is supposed to be*

- extractable,
- computationally binding,
- computationally  $\ell$ -batch evaluation binding, where  $\ell = 2 \log(\mu) + 1$ .

Denote by  $p$  the soundness error of the univariate sumcheck protocol executed at the end of `Fold-DCS`. Then, for any probabilistic polynomial-time prover  $\tilde{P}$ , the probability

$$\Pr_{\alpha_1, \dots, \alpha_\mu} \left[ \langle \tilde{P}_{\mu, d, \mathbb{F}, H}(f, S) \leftrightarrow V_{\mu, d, \mathbb{F}, H}^f(S) \rangle = \text{accept} \mid \sum_{\mathbf{a} \in H^\mu} f(\mathbf{a}) \neq S \right]$$

is bounded from above by

$$(m+1)\varepsilon(\lambda) + 1 - \left( 1 - \left( \frac{D+1}{|\mathbb{F}|} - \frac{D}{|\mathbb{F}|^2} \right) \right)^m \left( 1 - \max \left( p, \frac{D}{|\mathbb{F}|} \right) + \sigma(\lambda) \right)$$

where  $\varepsilon$  and  $\sigma$  are negligible functions. When  $p \leq D/|\mathbb{F}|$ , this is bounded from above by

$$(m+1) \left( \varepsilon(\lambda) + \frac{(D+1)}{|\mathbb{F}|} (1 + \sigma(\lambda)) \right).$$

*Proof.* We need to adapt the proof of Proposition 3.

581 **Commit phase.** During the  $i^{\text{th}}$  round,  $\tilde{\mathsf{P}}$  sends a commitment  $\tilde{C}_i$ . As the PCS is  
 582 extractable and computationally binding, with probability  $1 - \text{negl}(\lambda)$ , exactly one function  
 583 which opens  $\tilde{C}_i$  can be extracted from  $\tilde{C}_i$ . The hybrid argument [MF21, Theorem 3.8] now  
 584 ensures that there exists a negligible function  $\varepsilon(\lambda)$  such that, with probability  $1 - m \cdot \varepsilon(\lambda)$ ,  
 585 for every  $i \in \{1 \dots m\}$ , exactly one function which opens  $\tilde{C}_i$  can be extracted from  $\tilde{C}_i$ . We  
 586 will denote this function by  $\tilde{f}_0^{(i)}$ .

587 In this case, we may still define  $f^{(i)}, S^{(i)}$  using  $\tilde{f}_0^{(i)}$  as in the proof of Proposition 3.  
 588 Then the lower bound for

$$589 \quad s^{(i)} = \Pr_{\alpha^{(1)}, \dots, \alpha^{(i)}} \left[ \sum_{\mathbf{a} \in H^{\mu_i}} f^{(i)}(\mathbf{a}) \neq S^{(i)} \right]$$

590 does not change, since the two cases corresponding to (A) and (B) are completely unchanged.  
 591 Note that the definition of  $s^{(i)}$  still depends on the actual values of  $\tilde{f}_0^{(i)}$ , and not some  
 592 claimed evaluations.

593 **Query phase.** In the present case,  $\tilde{\mathsf{P}}$  sends a commitment  $\tilde{C}$  at the beginning of the  
 594 query phase, as well as  $m$  alleged evaluations  $y_i$  at  $\alpha^{(i)}$  of the commitments  $C_i$ . With  
 595 probability  $1 - \varepsilon'(\lambda)$ , where  $\varepsilon'$  is negligible, a unique function  $\tilde{f}^{(m)}$  which opens  $\tilde{C}$  can be  
 596 extracted from  $\tilde{C}$ . Replacing  $\varepsilon$  with  $\max(\varepsilon, \varepsilon')$  if needed, we may suppose that  $\varepsilon' \leq \varepsilon$ . We  
 597 set

$$598 \quad \tilde{S}^{(m)} = \prod_{j=1}^m z^{(j)} S + \sum_{j=1}^m \left( \prod_{\ell=j+1}^m z^{(\ell)} \right) y_j,$$

599 the value computed by  $\mathsf{V}$  at Step 1 of the query phase using the alleged evaluations  
 600  $y_i$ . Since the PCS is computationally  $\ell$ -batch evaluating binding, there is a negligible  
 601 function  $\sigma$  such that  $\Pr(\tilde{S}^{(m)} \neq S^{(m)}) \leq \sigma(\lambda)$ . Recall that with probability  $s^{(m)}$ , we have  
 602  $\sum_{a \in H} f^{(m)}(a) \neq S^{(m)}$ .

603 (A') If  $\tilde{f}^{(m)} = f^{(m)}$ , then

- 604 • either  $\tilde{S}^{(m)} = S^{(m)}$ , and then  $\sum_{a \in H} \tilde{f}^{(m)} \neq S^{(m)}$  so  $\mathsf{V}$  accepts if and only if the  
 605 univariate sumcheck on  $\tilde{f}^{(m)}$  passes, which happens with probability  $p$ ,
- 606 • or  $\tilde{S}^{(m)} \neq S^{(m)}$  and then  $\sum_{a \in H} \tilde{f}^{(m)} = \tilde{S}^{(m)}$  with probability  $1/|\mathbb{F}|$ , in which case  
 607  $\mathsf{V}$  accepts. And otherwise  $\mathsf{V}$  accepts if and only if the univariate sumcheck on  
 608  $(\tilde{f}^{(m)}, \tilde{S}^{(m)})$  passes.

609 As a result, in this case, the probability that  $\mathsf{V}$  accepts is at most

$$610 \quad \rho = (1 - \sigma(\lambda))p + \sigma(\lambda) \left( \frac{1}{|\mathbb{F}|} + \left(1 - \frac{1}{|\mathbb{F}|}\right)p \right) = p + \frac{\sigma(\lambda)}{|\mathbb{F}|}(1 - p).$$

611 (B') If  $\tilde{f}^{(m)} \neq f^{(m)}$ , then for  $\mathsf{V}$  to accept, the openings of  $\tilde{f}^{(m)}$  and the evaluations of  
 612  $f^{(m)}$  at  $\beta$  need to coincide, which happens with probability at most  $D/|\mathbb{F}| + \sigma(\lambda)$ .

613 Using the inequality

$$614 \quad p + \frac{\sigma(\lambda)}{|\mathbb{F}|}(1 - p) \leq p + \sigma(\lambda)$$

615 we obtain that, when no two different functions can be extracted for the same commitment,  
 616  $V$  accepts with probability at most

$$617 \quad (1 - s^{(m)}) + s^{(m)} \left( \max \left( p, \frac{D}{|\mathbb{F}|} \right) + \sigma(\lambda) \right).$$

618 The result now follows from the expression of  $s^{(m)}$  computed in Proposition 3.  $\square$

#### 619 4.2.1 Instantiation with Zeromorph (tweaked for $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ )

620 In 2024, Kohrita and Towa [KT24] built a multilinear commitment scheme, *i.e.* for  $d = 1$ ,  
 621 from any additively homomorphic PCS for *univariate* polynomials, as well as any protocol  
 622 to check degree bounds on committed polynomials. The construction relies on bilinear  
 623 pairings. They also instantiate their scheme using the KZG univariate PCS [KZG10] – in  
 624 a hiding version to ensure zero knowledge, which we do not require here. This instantiated  
 625 version is computationally binding,  $\ell$ -batch evaluation binding and extractable in the  
 626 algebraic group model under the DLOG assumption in the bilinear group [KT24, §4, §6].  
 627 We propose a tweaked version of Zeromorph, to get a  $(d, D)$ -degree PCS, which preserves  
 628 these properties.

629 First, (see [Lee21, §2.5] for instance), any  $\mu$ -variate polynomial of partial degrees  
 630  $d_1, \dots, d_\mu$  can be reformulated as a multilinear polynomial in  $\sum_{1 \leq i \leq \mu} \lceil \log_2(d_i + 1) \rceil$  variables.

631 Concretely, in our case, we set  $\delta = \lceil \log_2(d + 1) \rceil$  and define the linear isomorphism  
 632  $\text{MULTILIN}$  between the space  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{\leq d}$  of polynomials with partial degrees  $\leq d$  and the  
 633 space  $\mathbb{F}[y_{i,\ell} \mid 1 \leq i \leq \mu, 0 \leq j < \delta]_{\leq 1}$  of multilinear polynomials by

$$634 \quad \text{MULTILIN}(x_i^{\alpha_i}) = \prod_{j=0}^{\delta-1} y_{i,j}^{\alpha_{i,j}} \quad (3)$$

635 using the binary decomposition of the exponent  $\alpha_i = \sum_{j=0}^{\delta-1} \alpha_{i,j} 2^j$ . This maps the space  
 636 of polynomials  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  into the set of multilinear polynomials of arity  $n = \mu\delta$ , which  
 637 enables us to use the multilinear PCS Zeromorph to commit to polynomials in  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ .  
 638 However, for the soundness of Fold-DCS, we need to make sure that the prover can only  
 639 commit to polynomials of total degree at most  $D$ . To achieve this, we shall modify the  
 640 setup of Zeromorph.

641 We follow the exposition of [KT24, §2.5]. For any integer  $n$ , there is a linear isomorphism  
 642  $\mathcal{U}_n$  between the vector space of multilinear polynomials  $\mathbb{F}[y_0, \dots, y_{n-1}]_{\leq 1}$  in  $n$  variables  
 643 and the space  $\mathbb{F}[t]_{< 2^n}$  of univariate polynomials of degree less than  $2^n$  defined as

$$644 \quad \mathcal{U}_n : \begin{cases} \mathbb{F}[y_0, \dots, y_{n-1}]_{\leq 1} & \rightarrow \mathbb{F}[t]_{< 2^n} \\ \prod_{j=0}^{n-1} (b_j \cdot y_j + (1 - b_j) \cdot (1 - y_j)) & \mapsto (t^{2^0})^{b_0} \dots (t^{2^{n-1}})^{b_{n-1}} \end{cases}$$

645 for any bits  $b_j \in \{0, 1\}$ . In other words, given an  $n$ -variate multilinear polynomial  $g$ , we  
 646 have

$$647 \quad \mathcal{U}_n(g) = \sum_{(b_0, \dots, b_{n-1}) \in \{0,1\}^n} g(b_0, \dots, b_{n-1}) t^{b_0 + 2b_1 + \dots + b_{n-1} 2^{n-1}}$$

648 Let  $\mathcal{F}_{d,D}$  be the image of the monomial basis of  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$  under the composition of  
 649 the isomorphisms  $\text{MULTILIN}$  and  $\mathcal{U}_n$  for  $n = \mu \lceil \log_2(d + 1) \rceil = \mu\delta$ . Given a monomial

650  $\mathbf{x}^\alpha = \prod_{i=1}^{\mu} x_i^{\alpha_i} \in \mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$ , we have

651 
$$\mathcal{U}_n(\text{MULTILIN}(\mathbf{x}^\alpha)) = \sum_{\mathbf{b} \in \{0,1\}^{\mu\delta}} \prod_{i=1}^{\mu} \prod_{j=0}^{\delta-1} b_{i,j}^{\alpha_{i,j}} t^{2^{(i-1)\delta+j}}.$$

652 Then

653 
$$\mathcal{F}_{d,D} = \left\{ \sum_{\mathbf{b} \in \{0,1\}^{\mu\delta}} \prod_{j=0}^{\delta-1} b_{i,j}^{\alpha_{i,j}} t^{2^{(i-1)\delta+j}} \mid \forall i, \alpha_i \leq d \text{ and } \alpha_1 + \dots + \alpha_\mu \leq D \right\}. \quad (4)$$

654 Every polynomial encountered in the protocol has total degree  $\leq D$ . To ensure that the  
 655 prover can only commit to polynomials of total degree at most  $D$ , he is given a constrained  
 656 structured reference string.

**Protocol 4:** Zeromorph adapted for  $\mathbb{F}[\mathbf{x}_{1:\mu}]_{d,D}$

Setup( $1^\lambda, \mu, d, D$ ):

- $\mathbb{G} := (p, \mathbb{G}_1, \mathbb{G}_2, \mathbb{G}_T, e) \leftarrow \text{GEN}(1^\lambda)$
- $\tau, \xi \leftarrow \mathbb{F}^*$
- $srs \leftarrow (([g(\tau)]_1)_{g \in \mathcal{F}_{d,D}}, [\xi]_1, ([g(\tau)]_2)_{g \in \mathcal{F}_{d,D}}, [\xi]_2)$
- Return  $\text{pp} \leftarrow (\mathbb{G}, srs)$ .

In Commit, Open and Eval, every instance of  $\text{KZG.Commit}(\mathcal{U}_n(\cdot))$  is replaced by  $\text{KZG.Commit}(\mathcal{U}_n(\text{MULTILIN}(\cdot)))$ .

657 Let us study the complexities of this tweaked version.

658 Each commitment requires  $\text{rand}(\text{Commit}) = \mu\delta = \mu(\log(d) + O(1))$  random field ele-  
 659 ments and  $O(d2^\mu)$  field operations on the prover's side. Note that the transformation of a  
 660 multivariate polynomials into a univariate one via  $\mathcal{U}_n(\text{MULTILIN}(\cdot))$ , done on the prover's  
 661 side, has a negligible computational cost in comparison. The  $\ell$ -batched evaluation protocol  
 662  $\ell$ -Eval with  $\ell = 2(\log \mu + 1)$  runs in  $\text{rn}(\ell\text{-Eval}) = 3$  rounds (6 moves, where Eval requires 5  
 663 moves) and calls for 2 random elements on the prover's side, and 4 on the verifier's one, so  
 664  $\text{rand}(\ell\text{-Eval}) = 6$ . The prover performs  $\mathbf{t}(\text{P}_{\ell\text{-PC}}) = O(d2^\mu)$  field operations, whereas the  
 665 verifier complexity is  $\mathbf{t}(\text{V}_{\ell\text{-PC}}) = O(\mu \log(d))$  in  $\mathbb{F}$  since  $\ell = o(\mu \log(d))$ .

666 The evaluation protocol is computationally sound: a dishonest prover capable of forging  
 667 a proof of a false evaluation would be able to solve the discrete logarithm problem in a  
 668 group where it is hard. The complexities are summed up in Table 1 in the introduction.

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